

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

irst Named

Inventor : Adam Yeh

Appln. No.: 10/748,391

Filed : December 30, 2003

For : ARCHITECTURE FOR AUTOMATING

ANALYTICAL VIEW OF BUSINESS

APPLICATIONS

Docket No.: M61.12-0568

Group Art Unit: 3622

Examiner: ---

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 I HEREBY CERTIFY THAT THIS PAPER IS BEING SENT BY U.S. MAIL, FIRST CLASS, TO THE COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, THIS 17h

Sir:

The patents or publications listed on the enclosed PTC Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of U.S. Patents and Published Applications.

TIME OF FILING

The information disclosure statement is being filed:

- with the application or within three months of the 1. x filing date of the application or date of entry into the national stage of an international application or , before the mailing date of a first Office action on merits, whichever event occurs last. In accordance with 37 C.F.R. § 1.97(b), no statement or fee is required.
- 2. after the time period specified in paragraph 1 above, but before the mailing date of a final action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. Therefore, in accordance with 37 C.F.R. § § 1.311. 1.97(c), submitted herewith is:

(check either A or B below)

- A. ___ a statement as specified in 37 C.F.R. § 1.97(e).
- B. ___ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).
- after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
 - A. a statement as specified in 37 C.F.R. § 1.97(e);
 - B. the petition fee set forth in 37 C.F.R. § 1.17(p).

STATEMENT

(only used if No. 2(A) or No. 3 above is checked)
The person(s) signing below certify

(check appropriate paragraph)

that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(1).

OR

that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement. 37 C.F.R. § 1.97(e)(2).

METHOD OF PAYMENT

x No fee is required.

Attached	is	a	check	in	the	amount	of	\$	
								•	

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

Joseph R. Kelly, Reg. No. 34,847 Spite 1400 - International Centre

900 Second Avenue South

Minneapolis, Minnesota 55402-3319

Phone: (612) 334-3222 Fax: (612) 334-3312

JRK:slg



Atty. Docket No.: M61.12-0568

Appl. No.: 10/748,391

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

First Named Inventor:

Adam Yeh

Filing Date

Group Art:

December 30, 2003

3622

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	2005/02463 11-2005 70		Rubendall, Craig	707	103	
AB	2004/02156 26	10-2004	Colossi et al.	707	100	
AC	2002/00875 16	07-2002	Cras et al.	707	2	
AD	6,907,433	06-2005	Wang et al.	707	104	
AE	2005/00387 80	02-20005	De Souza et al.	707	003	
AF	6,212,524	04-2001	Weissman et al.	707	101	-

FOREIGN PATENT DOCUMENTS

Document No.	Date	Country	Class	Sub Class	Translation Yes No

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AG	Copy of Office Action for 10/727,176. Mailed June 27, 2006.	
-			-
	1		_

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449							y. Docket L.12-0568	Appl. No.: 10/748,391			
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT					First Named Inventor:						
						Adam Yeh					
						Filing Date			Group Art:		
							cember 30,	3622			
	U.S. PATENT DOCUMENTS										
Examiner Document Initial No. Date				Na	me	Sub Class Class			Filing Date If Appropriate		
								_			
			0								
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)											
	BA	XML.com, "Mapping DTDs to Databases," http://www.xml.com/lpt/a/2001/05/09/dtdtodbs.html, May 19, 2001									
	вв	XML.com, "RAX: An XML Database API," http://www.xml.com/lpt/a/2000/04/26/rax/index.html, April 26, 2000									
	вс	HiTSoftware, "Unifying XML and Relational Database Application Development," January 2003, pages 1-10									
	BD	Braganholo, et al., "On the Updatability of XML Views Over Relational Databases," International Workshop on the Web and Databases (WebDB), June 12-13, 2003, San Diego, California									
	BE	Meng, et al., "OrientStore: A Schema Based Native XML Storage System," 29 th VLDB Conference, Berlin, German 2003									
-	BF	Barrett, Alexandra, "Databases Embrace XML," SW Expert, August 2001, pages 43-47									
	BG	Coox, S.V., "Axiomatization of the Evolution of XML Database Schema," Programming Computer Software, Vol. 29, No. 3, 2003, pages 1-7, January 29, 2003									
	вн	Hahsler, Dr. Michael. "Knowledge Management Data Warehouses and Data Mining," Department of Information Processing, Vienna University of Economics and BA, December 11, 2001, pages 2-29									
EXAMIN	EXAMINER: DATE CONSIDERED:										

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.